PTO/SB/21 (08-03) (AW 10/2003)
Approved for use through 7/31/2006, OMB 0651-0031
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Attorney Docket No.

TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

Application Number 10/659,082

Filing Date September 10, 2003

First Named Inventor Joel Bartholf

Art Unit Unknown

Examiner Name Unknoqn

3SI-138US

Total Number of Pages in This Submission 41

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Fee Transmittal Form Fee Attached	Draw	ring(s)		After Allowance Communication to Group
	I	sing-related Papers		Appeal Communication to Board
Amendment/Reply After Final	Petiti			of Appeals and Interferences  Appeal Communication to Group
Affidavits/Declaration(s)		on to Convert to a sional Application		(Appeal Notice, Brief, Reply Brief)
Extension of Time Request	Chan	er of Attorney, Revocation, ge of Correspondence		Proprietary Information
Express Abandonment Request	Addre Term	ess inal Disclaimer		Status Letter
Information Disclosure Statement		est for Refund		Other Enclosure(s) (please identify below): Form 1449 (2
Certified Copy of Priority Document(s)	CD, 1	Number of CD(s)		pages), 3 references, return receipt card
Response to Missing Parts/	Remarks:			
Incomplete Application  Response to Missing Parts under				
37 CFR 1.52 or 1.53	1			
SIGNATURI	E OF APPLIC	ANT, ATTORNEY OR A	GENT	
Firm or Individual Rex A. Donnelly	Registration No. (Attorney/Agent) 41,712			
Signature		7		
Date January 13, 2004				
CERTIF	ICATE OF T	RANSMISSION / MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient				
postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria,  VA 22313-1450 on this date:  January 13, 2004				
Name (Print/Type) Regina Poletti	101			•
Signature Region Vole			Date	January 13, 2004
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

10/659,082

Applicant:

Joel Bartholf and Dennis Ruth

Filed:

September 10, 2003

Title:

SHIPPING DEVICE AND METHOD FOR ARTICLES CAPABLE OF RELEASING GAS CONTAINING HAZARDOUS PARTICULATES

GAS CON

TC/A.U.: Examiner:

Unknown Unknown

## **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of each of the listed foreign patent references are also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Reference 2 is not in the English language. This reference was cited by a foreign patent office in a counterpart foreign application. A copy of this English language search report, indicating the degree of relevance determined by the foreign patent office is submitted herewith. MPEP Section 609A(3), second paragraph.

No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Nevertheless, each item of information disclosed in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

Rex A. Donnelly, Reg. No. 427712 Attorney for Applicants

RAD:rc

Enclosures: PTO Form 1449

References (3) Transmittal Form

Dated: January 13, 2004

P.O. Box 980
Valley Forge, PA 19482
(610) 407-0700

✓ P.O. Box 1596Wilmington, DE 19899(302) 778-2500

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

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January 13, 2004/

Regina Poletti

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known				
Application Number	10/659,082			
Filing Date	September 10, 2003			
First Named Inventor	Joel Bartholf			
Art Unit	Unknown			
Examiner Name	Unknown			
Attorney Docket No.	3SI-138US			

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Examiner Cite Initials* No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)		(MM-DD-YYYY)	Applicant of Cited Document	Figures Appear	Τ6	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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See Kind Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.

<sup>&</sup>lt;sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>&</sup>lt;sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>&</sup>lt;sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST 16 if possible.

Applicant is to place a check mark here if English language translation is attached.

REAL STRAF

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Substitute for Form 1449B/PTO	Complete if Known			
	Application Number	10/659,082		
INFORMATION DISCLOSURE	Filing Date	September 10, 2003		
STATEMENT BY APPLICANT	First Named Inventor	Joel Bartholf		
(Use as many sheets as necessary)	Art Unit	Unknown		
<u> </u>	Examiner Name	Unknown		
SUEET 2 of 2	Attorney Docket No.	3SI-138US		

NON-PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²			
	04	International Search Report dated December 18, 2003 to application number PCT/US03/28271 (corresponding PCT application)	⊠			
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Examiner		Date Considered				

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.